

Investor Complaints Data of Ashlar Securities Pvt. Ltd. including all Exchanges and Depository

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites on every month ending as per SEBI circular no SEBI/HO/MIRSD/DOP/P/CIR/2021/676 format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites.

For the month - Sep 2023

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time ^ (in days)
						Pending for less than months	Pending for more than months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges	0	3	1	2	0		0
4	Depository	0	1	0	1	0		0
5	Other Sources (if any)	0	0	0	0	0		0
6	Grand Total	0	4	1	3	0		0

Trend of monthly disposal of complaints

2023

SN	Month	Broker				Depository			
		Carried forward from previous month	Received	Resolved*	Pending**	Carried forward from previous month	Received	Resolved*	Pending**
1	Jan-23	2	0	1	1	0	0	0	0
2	Feb-23	1	0	1	0	0	0	0	0
3	Mar-23	0	0	0	0	0	0	0	0
4	Apr-23	0	1	0	1	0	0	0	0
5	May-23	1	6	7	0	0	0	0	0
6	Jun-23	0	0	0	0	0	0	0	0
7	Jul-23	0	2	1	1	0	0	0	0
8	Aug-23	1	2	3	0	0	0	0	0
9	Sep-23	0	3	2	1	0	1	1	0
10	Oct-23								
11	Nov-23								
12	Dec-23								
			14	15	1		1	1	0

